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<p>Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				<i>Complete if Known</i>	
				Application Number	New application
				Filing Date	Herewith
				First Named Inventor	Reed Maltzman
				Group Art Unit	New application
				Examiner Name	New application
Sheet	1	of	1	Attorney Docket Number	03801.P040
				USPTO JC986 09/20/07	07/28/01

U.S. PATENT DOCUMENTS

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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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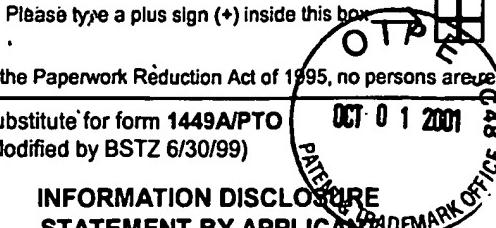
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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/820,574		
		Filing Date	March 28, 2001		
		First Named Inventor	Reed Maltzman		
		Group Art Unit	2164		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	9	Attorney Docket Number	003801.P040

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Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
DJ	3,581,072	Nymayer	5/25/1971	3/28/1968
	3,573,747	Adams, et al.	4/6/1971	2/24/1969
	4,412,287	Braddock, III	10/25/1983	9/15/1982
	4,674,044	Kalmus, et al.	4/16/1997	1/30/1985
	4,677,552	Sibley, Jr.	6/30/1987	10/5/1984
	4,789,928	Fujisaki	12/6/1988	1/30/1987
	4,799,156	Shavit, et al.	1/17/1989	10/1/1986
	4,823,265	Nelson	4/18/1989	5/11/1987
	4,903,201	Wagner	2/20/1990	11/3/1983
	5,063,507	Lindsey, et al.	11/5/1991	9/14/1990
	5,077,665	Sliverman, et al.	12/31/1991	5/25/1989
	5,101,353	Lupien, et al.	3/31/1992	5/31/1989

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DJ	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS

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DJ	MALONE, T.W.; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6.	
	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
	"Personal Optimized Decision/Transaction Program", <u>IBM Technical Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>TRADEMARK OFFICE</small> <small>(use as many sheets as necessary)</small>		Application Number	09/820,574		
		Filing Date	March 28, 2001		
		First Named Inventor	Reed Maltzman		
		Group Art Unit	2164		
		Examiner Name	Not Yet Assigned		
Sheet	2	of	9	Attorney Docket Number	003801.P040

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SJ-21	5,136,501	Silverman, et al.	8/4/1992	5/26/1989
	5,168,446	Wiseman	12/1/1992	5/23/1989
	5,243,515	Lee	9/7/1993	10/30/1990
	5,258,908	Hartheimer, et al.	11/2/1993	11/2/1990
	5,280,422	Moe, et al.	1/18/1994	11/5/1990
	5,297,031	Guterman, et al.	3/22/1994	3/6/1990
	5,297,032	Trojan, et al.	3/22/1994	2/1/1991
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	5,325,297	Bird, et al.	6/28/1994	6/25/1992
	5,329,589	Fraser, et al.	7/12/1994	6/3/1993
	5,375,055	Toher, et al.	12/20/1994	2/3/1992
	5,394,324	Clearwater	2/28/1995	12/8/1993

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	Office or Country	Number	Date			
SJ-21	Netherland	9300266	2.10.1993			No
	France	2658635-A1	2.6.1991			
V	Canada	2,253,543	3/19/1997			Yes

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SJ-21	ROCKOFF, T.E; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16.	
	MASSIMB, M.N; PHELPS, B.D, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50.	
	POST, D.L; COPPINGER, S.S, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10, No. 3.	
V	HESS, C.M; KEMERER, C.F., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274.	

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Application Number	09/820,574
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First Named Inventor	Reed Maltzman
Group Art Unit	2164
Examiner Name	Not Yet Assigned

Sheet 3 of 9 Attorney Docket Number 003801.P040

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SGJ	5,426,281	Abecassis	6/20/1995	10/29/1993
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	5,689,652	Lupien, et al.	11/18/1997	4/27/1995
	5,710,889	Clark, et al.	1/20/1998	6/7/1995
	5,715,402	Popolo	2/3/1998	11/9/1995
	5,717,989	Tozzoli, et al.	2/10/1998	10/13/1994
	5,727,165	Ordish, et al.	3/10/1998	12/27/1994
	5,794,219	Brown	8/11/1998	2/20/1996
V	5,818,914	Fujisaki	10/6/1998	3/27/1995
	5,826,244	Huberman	10/20/1998	8/23/1995

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SGJ	PCT	WO99/63461	12/9/1999			
V	PCT	WO95/17711	6/29/1995			

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SGJ	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21.	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3.	
	LEE, Ho G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV.	
V	CLEMONS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12.	

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Application Number	09/820,574
Filing Date	March 28, 2001
First Named Inventor	Reed Maltzman
Group Art Unit	2164
Examiner Name	Not Yet Assigned

Sheet

4

of

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Attorney Docket Number

003801.P040

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	MARDESICH, J., "Onsale takes auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32.	
	"Onsale joins fray as online shopping picks up speed: INTERNET BOOMS", <u>Computer Reseller News</u> , June 5, 1995, Page 73 (www.dialogweb.com).	
	SIEGMANN, Ken, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42 (www.dialogweb.com).	
	Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, (pp 3) Dialog Web. 0489267 BW0022.	

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Application Number	09/820,574
Filing Date	March 28, 2001
First Named Inventor	Reed Maltzman
Group Art Unit	2164
Examiner Name	Not Yet Assigned

Sheet 5 of 9 Attorney Docket Number 003801.P040

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	5,771,380	Tanaka, et al.	6/23/1998	10/7/1996
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	5,803,500	Mossberg	9/8/1998	3/27/1997
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✓	SCHMID, B.F., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No. 9/10.	
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	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given.	

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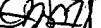
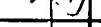
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	RESNICK, Paul; ZECKHAUSER, Richard; FRIEDMAN, Eric; KUWABARA, Ko, "Reputation Systems", Association for Computing Machinery, <u>Communications of the ACM</u> , vol. 43, no. 12, pp 45-48 ((Dialog	
	MEADE, Jim, visual 360: a performance appraisal system that's "fun" ., <u>HR Magazine</u> , July, 1999 (Dialog file).	

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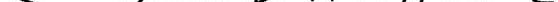
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<p>Substitute for form 1449A/PTO (Modified by BSTZ 6/30/98)</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>				<p align="center"><i>Complete if Known</i></p>	
				Application Number	09/820,574
				Filing Date	March 28, 2001
				First Named Inventor	Reed Maltzman
				Group Art Unit	2164
				Examiner Name	Not Yet Assigned
Sheet	9	of	9	Attorney Docket Number	
JC48					

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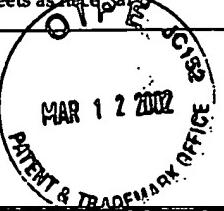
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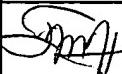
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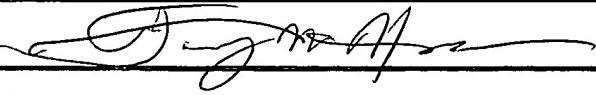
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 3801P040	Application Number: 09/820,574
 Page 1 of 1		First Named Inventor: Reed Maltzman	COPY OF PAPERS ORIGINALLY FILED
		Filing Date: March 28, 2001	

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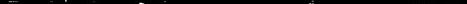
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		International Search Report-PCT/US01/31012, January 15, 2002.			
		Autodaq Creates Online Marketplace For Used Auto Industry, Business Wire, January 17, 2000, entire document.			

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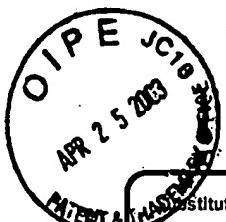
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet

1

of

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Complete if Known

Application Number	09/820,574
Filing Date	March 28, 2001
First Named Inventor	Reed Maltzman
Art Unit	3628
Examiner Name	Unknown
Attorney Docket Number	3801P040

OTHER ART - NON PATENT LITERATURE DOCUMENTS

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<i>JM</i>		INA STEINER, "From the Editor", Auction Bytes newsletter, Auctionbytes-Update, Number 8 - February 20, 2000 - ISSN 1529-6703, 3 pages.	
		DAVID LUCKING-REILEY, "AUCTIONS ON THE INTERNET: WHAT'S BEING AUCTIONED, AND HOW?", The Journal of Industrial Economics, September 2000, Volume XLVIII, No. 3, pg. 227-252.	
		ZOLTAN HIDVEGI, et al., "Buy-price English Auction", September 1, 2002, 28 pages.	
		ERIC B. BUDISH and LISA N. TAKEYAMA, "Buy prices in online auctions: irrationality on the internet?", Economics Letters, 2001, pg. 325-333.	
		STANLEY S. REYNOLDS and JOHN WOODERS, "Ascending Bid Auctions with a Buy-Now Price", August 2002, 29 pages.	
<i>V</i>		TIMOTHY MATHEWS, "A Risk Averse Seller in a Continuous Time Auction with a Buyout Option", September 12, 2002, 26 pages.	

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